

The Solar Wind – Magnetosphere Coupling, Radiation Belts, Plasmasphere

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Co-located different populations of particles

The magnetosphere creates a cavity in the magnetic field. However, the magnetosphere is not empty and hosts a number of different particle populations.







Inner-magnetosphere

Particles at different energies are strongly coupled in this region





An Achievement of the International Geophysical Year

The Earth's Radiation Belts Discovered in 1958



James Van Allen



[Van Allen, 1959]





[Vernov et al., 1959]

Sergei N. Vernov



Particle energies > 500 kilo-electron volts (keV) Inner belt: fairly stable | Outer belt: very dynamic

The Earth's Radiation belts



[Baker and Panasyuk, 2017]

"My God, space is radioactive!" ---Ernie Ray, 1958







Which energy range are you talking about?

How do these particles move in the magnetosphere?

$\beta = \frac{v}{c}$	$\gamma = \frac{1}{\sqrt{1 - \beta^2}}$	$E_k = (\gamma - 1)m_0c^2$	~
0.997	13	6.15 MeV	
0.98	5	2.05 MeV	diation be
0.87	2	512 keV	Ra
0.75	1.5	256 keV	
0.41	1.1	51.2 keV	ig current
0.30	1.05	25.6 keV	Rir





Do they influence the Earth's magnetic field?

Where are these particles from?



"Magnetisches Ungewitter"

Magnetic storm

Magnetic needle deflections observed at his home in Berlin on 21 December 1806

Annalen der Physik (1808)

Alexander von Humboldt



J. Bartels Director of Geophysical Institute Potsdam since 1936

PLANETARY MAGNETIC HREE-HOUR-RANGE INDICES Kp from Aug 28 till Dec 31

Kp (Planetarische Kennziffern) index Now Kp is provided by GFZ Section 2.3





Do they influence the Earth's magnetic field?

Ring current and geomagnetic storms

$\beta = \frac{\nu}{c}$	$\gamma = \frac{1}{\sqrt{1 - \beta^2}}$	$E_k = (\gamma - 1)m_0c^2$	
0.997	13	6.15 MeV	Hadiation belt
0.98	5	2.05 MeV	Q 10 ⁰ 10 ⁰
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0.41	1.1	51.2 keV	
0.30	1.05	25.6 keV	Souther the second seco





23:00

Impact of High Energy Particles on Satellites

High-energy electrons cause deep-dielectric charging



[Baker et al., 2018, Space Science Review]

1. High-energy electrons can penetrate the shielding's of the satellite



2. Discharge (electrical spark) that damages or destroys the material

Image Credit: L. J. Lanzerotti, Bell Laboratories, Lucent Terchnologies, Inc.

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> Over 1,000 active satellites; Supporting €125B/yr industry
> Satellite navigation essential for modern life

Avg. total satellite costs ~500 M€



Why Do We Care about Geomagnetic Storms?

They influence technology in space and life on the ground - space weather

It has been estimated that a Carrington-type storm, the strongest solar storm ever observed at Earth which occurred in 1859, today may cause more than a trillion Euros in global damage [*Lloyd's of London*, 2013]

- Vulnerability of power grids, blackouts
- March 13, 1989: Quebec, Canada
- ➢ Over 1,000 active satellites; Supporting €125B/yr industry
- **February 4, 2022:** 40 of 49 Starlink satellites
- Satellite navigation essential for modern life



[Baker et al., 2018]







PoF IV: Changing Earth – Sustaining our Future

Topic 3: Living on a Restless Earth: Towards Forecasting Geohazards



"Ionizing radiation from geomagnetic storms can harm satellite performance, e.g., reduce GNSS location and communication services. We will make significant improvements to **forecasting the near-Earth space weather** by developing forecasting tools for the near-Earth radiation environment." – POF IV Topic 3

Milestone M3.7-4 (2026): Implement a new model to forecast the magnetospheric ring current, radiation belts and the Kp-index.



An Overarching, Compelling and Unanswered Question

Scientists are still finding mysterious features about the high energy particles

Why do the high energy particles respond so differently to similar geomagnetic storms?

[*Reeves et al.,* 2003]

Depletion



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Enhancement







How Are These Particles Accelerated and Lost?

Most Important Mechanism: Wave-Particle Interactions



Credit: JAXA

[Kasahara et al, 2018, Nature]

The frequencies of these waves range from mHz to kHz! At least we need the wave information in 8 dimensions of variables: wave type, frequency, intensity, propagation direction, local time, latitude, *L*, geomagnetic activity level dependence.



Accurate Wave Model is very important



A sensitivity test. Comparing with the first panel, chorus waves power used in the simulation for the second panel is twice as high on the dayside and twice as low on the nightside.

[Subbotin et al., JGR, 2011]





PoF IV: Changing Earth – Sustaining our Future

Topic 1: The Atmosphere in Global Change

"Energetic particles that precipitate from the magnetosphere can have a profound effect on nitric oxide, with consequences for ozone and climate. To quantify the **effects of particle precipitation**, we will need to understand and quantify the dynamic evolution of energetic particles and their effect on upper-atmospheric chemistry." – POF IV Topic 1



Deliverable D1.5 (2027): Assessment of solar-terrestrial processes taking place in the near Earth's space, and of its possible feedback mechanisms on lower atmosphere dynamics.



Geomagnetic activity is recommended as part of the solar forcing of the climate system for the upcoming CMIP-6 (IPCC) model experiments. CMIP: Coupled Model Intercomparison Project IPCC: the Intergovernmental Panel on Climate Change

DFG Project Collaborating with Dr. Miriam Sinnhuber at KIT



Precipitation of Ring Current Electrons and Their Effect

We are extending our model to ring current and inner radiation belt





[Grishina et al, 2024, ASR] T

Different Important Waves

Zoo of Waves

- *Chorus waves* are an important acceleration and loss mechanism for radiation belt electrons.
- *Magnetosonic waves* may be an important acceleration mechanism.
- *Plasmaspheric hiss* is a major loss process for radiation belt electrons
- *EMIC waves* may be an important loss mechanism for electrons with energies $> \sim 1$ MeV







Important Waves in the Earth's Inner Magnetosphere

Chorus, hiss, EMIC, and ultra-low frequency (ULF)



[Courtesy of M. Usanova]

[*Wang et al.,* 2019, JGR]

Our Approach to Understand Wave Particle Interactions

3 steps





Three dimensional Fokker Planck Equation

Used in the three dimensional Versatile Electron Radiation Belt Code



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Loss of Energetic Electrons in the Earth's Outer Radiation Belts

What are the mechanisms driving these losses?



- > Adiabatic change
- Magnetopause shadowing
- Wave-particle interactions



The Boundary Condition Setup of VERB-3D Simulations

No data from Van Allen Probes is used in the boundary conditions to drive the simulation. We validate our results against observations from Van Allen Probe and GOES measurements.

Boundary	Condition	Physics	Simulation Grids in L* and Energy
$lpha=0.7^{\circ}$	$\partial f/\partial \alpha = 0$	Strong diffusion regime	8
$\alpha = 89.3^{\circ}$	$\partial f/\partial \alpha = 0$	Flat-top distribution	7
$E_{min} = 10 \text{ keV}$	$\partial f / \partial t = 0$	Balance convection and loss	6 *_ 5
$E_{max} = 10 \; MeV$	f = 0	Absence of such electrons	
$L^{*} = 1$	f = 0	Losses to the Atmosphere	2
$L^{*} = 6.6$	Scaling	Using GOES Measurements	1 10 ⁻² Energy, MeV

Magnetopause shadowing are included in the simulation using **Last Closed Drift Shell (using IRBEM)**. Diffusion coefficients are based on our most recent wave models (Wang et al., 2019; Orlova et al., 2016; Abel and Thorne, 1998)

Simulations of Loss to MP and 3 Diffusive Processes



sr-MeV

Baker et al., 2013 Science

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•Magnetopause loss that can explain for the loss of particles at relativistic energies cannot explain losses at ultra-relativistic energies.

•Narrow belt at 6.2 MeV can not be explained by the model.

•Additional loss is required to produce a narrow ring of radiation observed by Baker et al. (2012).

EMIC Wave Observations



•ElectroMagnetic Ion Cyclotron (EMIC) waves are observed on the ground during the main phase of the storms.

•*In situ* observations of waves

[Shprits et al., 2013 Nature Physics]





Difference in Behavior of Ultra-relativistic and Relativistic Electron Fluxes



- Simulations with EMIC wave scattering can reproduce unusual behavior of the radiation belts.
- Simulations with EMIC scattering reproduces 3 zone structure at 4MeV and a very narrow remnant belt at 6.2 MeV.
- Simulations without EMIC waves can not reproduce such narrow remnant belts.

•[Shprits et al., 2013, Nature Physics]

Global Distribution of Chorus Waves

Using Satellite Data to Develop Empirical Models for Waves





Careful inter-calibration between measurements from different satellites is needed!

Machine Learning in Wave Model Development

Find which parameters are most important



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Importance of Waves at High Latitude

Using Chorus Wave Models Based on Van Allen Probe and Cluster Observations



- Chorus waves at high latitude can tip the balance between the effect of acceleration and loss on MeV electrons.
- > During storm times, the net effect of chorus waves on MeV electrons can be acceleration.
- However, during quiet times, when chorus waves can propagate to high latitude, their net effect on MeV electrons can be loss.



Assumption Proved by Recent Study

Combining 6 years of Van Allen Probe data and 20 years of Cluster data



[Santolik, Shprits.. Wang et al, AGU Advances, in revision]







Prediction of Adverse effects of Geomagnetic storms and Energetic Radiation (PAGER) – EU Horizon 2020



This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No. 870452

Ensemble forecast from the Sun will allow a long-term probabilistic prediction.





PAGER



This project has received funding from the **European Union's Horizon 2020** research and innovation programme under grant agreement No. 870452

Prediction of Adverse effects of Geomagnetically-induced currents and Energetic Radiation

Data Products of the PAGER Project

Ensemble Solar Wind Forecast using real-time CME Alerts



Start time 2024-01-11 20:19

Ensemble Prediction of the Solar Wind with Bias Correction

Ensemble Kp forecast based on PAGER ensemble solar wind predictions





Data Products of the PAGER Project

Forecast of the Plasma Density in the Plasmasphere



Machine Learning Model of Kp and Plasma Density



Example output

Zhelavskaya et al., 2017 The model trained on single point measurements from 2012 to 2016 reproduces the global dynamics observed in 2001



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The Forecasting that We Currently Have in Our Section

We are improving the forecast



https://www.gfz-potsdam.de/en/section/space-physics-and-space-weather/dataproducts-and-services

Ring Current Electron Forecast 29 Apr 2024 - 00:00 8 keV 16 keV 32 keV 4.0 4.5 5.0 5.5 6.0 6.5 7.0 log10(mean flux), #/cm²/s/sr/keV log10(mean flux), #/cm²/s/sr/keV log10(mean flux), #/cm²/s/sr/keV



Standard deviation in log10 space

https://www.spacepager.eu/data-products/



Data Products of the PAGER Project

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Surface Charging Indicators and Internal Charging Indicators



Internal Charging results

How reliable are these forecasting and models?

Validation Challenge: COSPAR International Space Weather Action Team (ISWAT)

S: Space weather origins at the Sun	H: Heliosphere variability	G: Coupled geospace system	Impacts
S1: Long-term solar variability	H1: Heliospheric magnetic	G1: Geomagnetic environment	Climate
CQ: Ambient color magnetic			Electric power systems/GICs
field, heating and spectral irradiance	and propagation through heliosphere	Gza. Atmosphere variability	Satellite/debris drag
S3: Solar eruptions	H3: Radiation environment in heliosphere	G2b: Ionosphere variability	Navigation/ Communications
	H4: Space weather at other planets/planetary bodies	G3: Near-Earth radiation and plasma environment	(Aero)space assets functions
			Human

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Information Architecture Assessment

Data Utilization

Education/Outreach

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International Space Weather Action Team Challenge

Validate Different Radiation Belt Models Against Van Allen Probe Observations





Compare Daily Fluence from Observation and Simulation

In general, daily fluence from simulation agrees well with observations

Correlation Coefficient = 0.8 Prediction Efficiency (PE) = 0.5 $PE = 1 - \frac{1}{N} \sum \frac{(X_i - Y_i)^2}{\text{Var}(X)}$, (Y-simulation, X-Observation) where $\text{Var}(X) = \frac{1}{N} \sum (X_i - \langle X_i \rangle)^2$, and $\langle \dots \rangle$ is the ensemble average of the parameters.

Thresho	old for 'Positive Ev	ent' Daily Fluence	e: 10^3			
Number of Daily Fluence Exceeding the Threshold: 74 (of 300 in total)						
Overall Heide	Overall Heideke Skill Score (S): 0.6187 $S = \frac{2(xw-yz)}{y^2+z^2+2xw+(y+z)(x+w)}$					
Successful Negative Predictions (w)	Successful Positive Predictions (x)	False Negative Predictions (y)	False Positive Predictions (z)			
222	41	33	4			



Long-term Simulation for Van Allen Probe Era

Long-term Comparison and Zoom Into Different Storms





Answer the Long-standing and Compelling Question

Reproduce the Dynamics in Different Geomagnetic Storms

Enhancement



Depletion

More systematic analysis is still going on... [Wang, Shprits et al, 2024, in prep]



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No change

Extend Our Study to All Important Waves

Recently Funded ERC Consolidator Grant (WIRE)



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Can these processes account for the dramatic dynamics, or do we need to include other processes?



Timeliness of the Proposed Research Activities

State of the art and beyond

- Increasing space weather awareness
- Multi-satellite measurements
- ➢ New data released
- Currently no wave model driven by solar wind using multiple satellite data
- Preliminary work and preparations



[Adapted from NASA]





Satellite mission	Launch time - End time	Data can be used in this study	Orbit coverage
RBSP	2012 - 2019	Wave & Particle	Inner-magnetosphere, latitude < 20
Arase	2016 -	Wave & Particle	Inner-magnetosphere, latitude < 45
Cluster II	2000 -	Wave & Particle	magnetopshere
THEMIS	2007 -	Wave & Particle	magnetosphere
Lomonosov	2016 - 2017	Particle	Low Earth Orbit
GPS	1978 -	Particle	Medium Earth Orbit

Chorus Wave Observation from Arase Satellite

- a) PWE HFA L3 Data
- b) Inside/outside plasmapause
- c) PWE OFA L2 Spectrum Data
- d) Amplitudes of Upperband chorus
- e) Amplitudes of lowerband chorus
- f) Orbit level 2 data



Distribution of Arase Measurements Outside the Plasmapause



Inter-calibrate Wave Measurements

Waves from RBSP are Systematically Stronger than Those from Arase



An **Example** Showing How We Choose Time Intervals

Data in the intervals with grey color shaded are removed

Work on this slide was carried out by the joint research program of the Institute for Space-Earth Environmental Research, Nagoya University.

Then we compare root mean square of Bw (pT)



Acknowledge to Arase and RBSP Team Credit Ting Feng

Amplitude is not the only factor controlling wave-particle interactions Cold plasma density is also very important



Besides wave models, we need accurate global plasma

Resonance energy varies with plasma density



Combine machine learning model and Physics-based model







Summary

- Accurate plasmasphere model is important
- > Wave models are very important
- In general, our simulations reproduce the observations in both long-term and different storm periods well
- We have our real time forecasting models in running in real time at GFZ
- We provide our models to our community

- Empirical models using machine learning techniques for different important waves
- Long-term simulations and systematic validations
- Improve the forecasting by using more advanced wave models
- Further communication with end users, stake holders
- Propose a new satellite mission



Thank you!

Looking forward to your questions, sugestions, comments and our collaboration :)

We are hiring!

dedong@gfz-Potsdam.de





Backup Slides





Why Can We Infer Electron Lifetime from Diffusion Coefficients?

From diffusion coefficients with pitch-angle dependence to lifetime without that dependence

The evolution of the electron pitch-angle distribution

D_{aa}, days⁻¹



Wave properties referred to Summers et al [2002], Meredith et al [2003], Horne et al [2005], assuming parallel propagation.

[Shprits. Li and Thorne, 2006, JGR]



Sensitivity tests show that lifetimes are most sensitive to the pitch-angle diffusion coefficients near the edge of the loss cone.

What Do We Know Now and Where Can We Improve?

Start from simple wave models

Chorus waves: fixed amplitude, parallel propagation

 τ



 $\tau = 1 / < D_{\alpha\alpha} > |_{\alpha_0 = \alpha_{lc}}$

$$= a_1 E^2 + a_2 L^{-1} + a_3 E^2 L^{-1}$$

1.5

Kinetic Energy, MeV

2

Kinetic Energy, MeV

0.5

Scale with wave amplitude at different deomagnetic condition $\tau = 4*1.2*(10^4 B_w^{-2}) \cdot (L^{-1}E^2)$



Kinetic Energy, MeV

Kinetic Energy, MeV

[Shprits, Meredith and Thorne, 2007, GRL]

What Do We Know Now and Where Can We Improve?

Previous Chorus Wave Models and Related Lifetime Parameterization



Derived from CRRES data

Parameterized Electron Lifetime in days





 $Log_{10}\tau$ in days for T89 model



[Orlova and Shprits, 2014]

Estimate Electron Lifetime

Using Chorus Wave Models Based on Van Allen Probe and Cluster Observations

- Full energy, MLT, L, Kp dependence
- Method 1: inverse of diffusion coefficients near the loss cone (e.g., Shprits et al., 2007)
- Method 2: equation from Albert and Shprits (2009, JASTP)

$$\tau_* \equiv \int_{\alpha_L}^{\pi/2} \mathrm{d}\alpha \frac{\cos\alpha}{2D\sin\alpha}$$



[Wang, Shprits, Haas and Drozdov, 2024, GRL, under review]



Parameterization of Electron Lifetime

Polynomial fitting is used as a first step



A lifetime data base [1067640x8 double] is created

X: Variables					y: Lifetimes		
1.	2.	3.	4.	5.	6.	7.	8.
Kp index	MLT	cos(MLT/ 12*pi)	sin(MLT/ 12*pi)	L	Energy (MeV)	Electron lifetime (days)	Electron lifetime (days)
[1 to 7]	[0 to 23]	[-1 to 1]	[-1 to 1]	[3 to 7]	[0.001 to 2]	[~0 to ~2e+17]	[~0 to ~1600]

Col 7.: Calculated using the equation from Albert and Shprits (2008). Col 8.: Calculated using pitch-angle diffusion coefficients near the loss cone.

[Wang, Shprits, Haas and Drozdov, 2024, GRL, under review]



Parameterization of Electron Lifetime

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Separate Energy Ranges



Improve Electron Lifetime Models Due To Chorus Waves

Two Methods, Better MLT Coverage Due to Satellite Data



- Two methods are used to estimate electron lifetime using diffusion coefficients
- New lifetime parameterization is developed and is dependent on MLT, L, energy and geomagnetic activity
- MLT coverage is better due to satellite data coverage
- Test new parameterization in inner magnetosphere codes

[Wang, Shprits, Haas and Drozdov, 2024, GRL, under review]



Form New Satellite Mission Concept

Benefit from the GFZ expertise, experiences and infrastructure

The existing GFZ infrastructure is able to help develop new missions focusing on the space environment and space weather, and a continuous monitoring of the geomagnetic field. Such initiatives are facilitated by GFZ's history of collaboration with national space agencies and satellite companies.

GFZ-1



GOCE



Station Potsdam

Station Ny-Ålesund





GFZ was and is involved in the development, manufacturing, operation, and analysis of various satellite geoscientific missions and systems. Further, a Satellite Receiving Station and a Satellite Laser Station Ranging are operated at the GFZ.



Wave Particle Interactions

Most important mechanism of driving radiation belt dynamics



Chorus waves can cause both the acceleration and loss of energetic particles in the Earth's radiation belts.

Here we focus on the effect of loss.

How can we quantify these processes?

Nonlinear Approach

$$\omega - \mathbf{k}_{\parallel} \mathbf{v}_{\parallel} = \mathbf{n} \Omega_{\mathrm{e}} / \gamma_{\mathrm{e}}$$

Quasi-linear Approach

At least we need the chorus wave information in 7 dimensions of variables: frequency, intensity, propagation direction, local time, latitude, *L*, geomagnetic activity level dependence.

[[]Kasahara et al, 2018, Nature]